

<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>				Docket No. <b>GENP:101_US_</b>	
Applicant(s): <b>Richard C. PAYNE</b>					
Application No. <b>09/893,633</b>	Filing Date <b>06/27/2001</b>	Examiner <b>Clement B. Graham</b>	Customer No. <b>24041</b>	Group Art Unit <b>3692</b>	Confirmation No. <b>5630</b>
Invention: <b>COMPUTER BASED SYSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL OPTION</b>					

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COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

☐ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	23 -	23 =	0	x \$25.00	\$0.00	
INDEP. CLAIMS	10 -	11 =	0	x \$100.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>	

☒ No additional fee is required for amendment.  
☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
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☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0822**  
☒ Any additional filing fees required under 37 C.F.R. 1.16.  
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/C. Paul Maliszewski/

*Signature*

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Dated: **Nov. 13, 2007**

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Nov. 13, 2007

(Date)

/C. Paul Maliszewski/

*Signature of Person Mailing Correspondence*

**C. Paul Maliszewski**

*Typed or Printed Name of Person Mailing Correspondence*



U.S. Patent Application No.: 09/893,633

Confirmation No.: 5630

Applicant(s): PAYNE, Richard C.

Filed: 06/27/2001

For: **COMPUTER BASED SYSTEM FOR VALUING AND HEDGING  
CUSTOMIZED INDEXED CALL OPTION**

TC/Art Unit: 3692

Examiner: Clement B. GRAHAM

Docket No.: GENP:101\_US\_

Customer No.: 24041

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/C. Paul Maliszewski/

C. Paul Maliszewski  
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**AMENDMENT AND REQUEST FOR RECONSIDERATION**

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Honorable Sir:

This Amendment and Request for Reconsideration is in response to the Office Action dated October 18, 2007 regarding the above-identified patent application. A Notice of Appeal has been filed concurrent with this paper.

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.

A check in the amount of \$255.00 for the required Notice of Appeal fee is attached.

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